



Attorney Docket No. YOR920040093US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

Applicant(s): M.A. Brodie et al.
Docket No.: YOR920040093US1
Serial No.: 10/826,575
Filing Date: April 16, 2004
Group: To Be Assigned
Examiner: To Be Assigned

I hereby certify that this paper is being deposited on this date with the U.S. Postal Service as first class mail addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature: *[Signature]* Date: April 29, 2004

Title: Active Probing for Real-Time Diagnosis

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants' attorney wishes to bring to the attention of the Patent and Trademark Office the following document listed on the accompanying Form PTO-1449. A copy of each listed document is enclosed.

U.S. Patent Documents

1. U.S. Application Serial No. 10/676,244 (attorney docket no. YOR920030250US1), filed in the name of M.A. Brodie et al. on September 30, 2003 and entitled "Problem Determination Using Probing."

Other Documents

1. A. Frenkiel et al., "EPP: A Framework for Measuring the End-to-End Performance of Distributed Applications," Proceedings of Performance Engineering 'Best Practices' Conference, IBM Academy of Technology, pp. 1-32, 1999.

2. "Using Keynote Measurements to Evaluate Content Delivery Networks," White Paper, www.keynote.com, pp. 1-5, 2000.

3. M. Brodie et al., "Optimizing probe selection for fault localization," 12th International Workshop on Distributed Systems: Operation and Management DSOM'2001, France, pp. 1-12, October 2001.

4. M. Brodie, et al., "Intelligent Probing: A Cost-Efficient Approach to Fault Diagnosis in Computer Networks," IBM Systems Journal, Vol. 41, No. 3, pp. 372-385, 2002.

5. S. Kliger et al., "A Coding Approach to Event Correlation," IM, pp. 1-12, 1997.

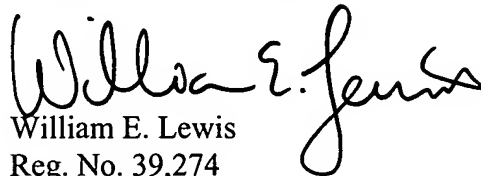
6. B. Gruschke, "Integrated Event Management: Event Correlation Using Dependency Graphs," DSOM'98, pp. 1-12, 1998.

7. V. Paxson, "End-to-End Internet Packet Dynamics," Proceedings of SIGCOMM, IEEE/ACM Transactions on Networking, Vol. 7, No. 3, pp. 277-292, June 1999.

It is believed that there is no fee due in conjunction with the filing of this Information Disclosure Statement. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **International Business Machines Corporation Deposit Account No. 50-0510** as required to correct the error.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or as an admission that the information cited is considered to be material to patentability, or as a representation that no other material information exists.

Respectfully submitted,



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Date: April 29, 2004

FORM PTO-1449 (MODIFIED)**LIST OF PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT**

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U.S. PATENT DOCUMENTS

EXAMINER		DOCUMENT NO.	DATE	NAME	CLASS/SUBCLASS	FILING DATE
INITIAL	IF APPROPRIATE					
___	1.	10/676,244	09/30/03	M.A. Brodie et al.		

FOREIGN PATENT DOCUMENTS

EXAMINER		DOCUMENT NO.	DATE	COUNTRY	CLASS/SUBCLASS	TRANSLATION	
INITIAL	IF APPROPRIATE					YES	NO

OTHER DOCUMENTS

EXAMINER		REF NO.	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
INITIAL	IF APPROPRIATE		
___		1.	A. Frenkiel et al., "EPP: A Framework for Measuring the End-to-End Performance of Distributed Applications," Proceedings of Performance Engineering 'Best Practices' Conference, IBM Academy of Technology, pp. 1-32, 1999.
___		2.	"Using Keynote Measurements to Evaluate Content Delivery Networks," White Paper, www.keynote.com, pp. 1-5, 2000.
___		3.	M. Brodie et al., "Optimizing probe selection for fault localization," 12th International Workshop on Distributed Systems: Operation and Management DSOM'2001, France, pp. 1-12, October 2001.
___		4.	M. Brodie, et al., "Intelligent Probing: A Cost-Efficient Approach to Fault Diagnosis in Computer Networks," IBM Systems Journal, Vol. 41, No. 3, pp. 372-385, 2002.
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___		7.	V. Paxson, "End-to-End Internet Packet Dynamics," Proceedings of SIGCOMM, IEEE/ACM Transactions on Networking, Vol. 7, No. 3, pp. 277-292, June 1999.

Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.